

THOCKET NO. 003330 USA/ETCH/METAL/JB U.S. DEPARTMENT OF COMMERCE FORM PTO-1449 APPLICATION NO.: PATENT AND TRADEMARK OFFICE 10/042,666 APPLICANT: Han, et al. INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION FILING DATE: 1/8/2002 (Use several sheets if necessary) GROUP ART UNIT: nkonwn ÜS PATENI DOCUMENTIS **EXAMINER** FILING DATE DOCUMENT NUMBER DATE NAME **CLASS SUBCLASS** INITIAL IF APPROPRIATE 428 **552** ML 5 1/30/1990 Gupta ML 9 505 4 3 q 0 3 8 7/3/1990 Maxfield et al. ML 428 3 3 2 3 9 6/12/1990 Olson et al. M 9 9 0 0 2 3/20/1990 Olson et al. m Z 6£ 5 2 4 8 7 9/14/1993 5 Hauser et al. m 4 7 505 0 8 0 11/28/1995 Hauser et al. W5 109 M 5 8 2 4 2 0 5 10/20/1998 Foster 109 IM 9 11/10/1998 205 Foster m 205 238 6 3 0 6 2 7 10/23/2001 6 Nobe et al. FOREIGN PATENT DOCUMENTS **TRANSLATION** DOCUMENT NUMBER DATE COUNTRY CLASS **SUBCLASS** YES OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) ML Hart, Anthony C, Alloy plating problem cracked, Nickel Magazine, June 1998 Praxair, Tribomet McrAlY Coatings, Praxair Surface Technologies Ltd, 2002, Available at: http://www.praxair.com/praxair.nsf/d63afe71c771b0d785256519006c5ea1/cccb361d6209c12b852565550043ee8d/\$FILE/MCrAlYco pdf, Accessed 29 April 2002. 6/6/03 **EXAMINER** DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE DOCKET NO. 003330/ETCH/METAL/JB

APPLICATION NO:N/A

INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION

APPLICANT: Han, et al.

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	1							.S. PATEN	T DOCUMENTS				105
EXAMINER INITIAL		DOCUMENT NUMBER							NAME	CLASS	SUBCLASS	I.	G DATE ROPRIATE
m	4	2	4	4	7	4	3	01/13/81	Blackburn, et al.	106	55		
m	4	7	4	3	4	9	3	5/10/88	Sioshansi, et al.	428	217		
m	4	9	7	3	4	4	5	11/27/90	Singheiser, et al	420	443		
M	5	3	6	6	_5	8	5	11/22/94	Robertson, et al.	156	643		
m	5	4	9	8	3	1	3	03/12/96	Bailey, et al.	156	643-1		
m	5	6	2	4	6	3	2	4/29/97	Baumann, et al.	420	544		
M	5	6	8	0	0	1	3	10/21/97	Dornfest, et al.	315	111.21		
M	5	7	5	3	0	4	4	05/19/98	Hanawa, et al.	118	723 I		
m	5	7	9	8	0	1	6	08/25/98	Oehrlein, et al.	156	345		
ML	5	9	4	8	5	1	3	09/07/99	Kriven, et al.	428	216		
M	5	9	6	4	9	2	8	10/12/99	Tomlinson, et al.	106	14.21	-	
me	6	2º i	F2	3	7	9	1	12/26/00	Han, et al.	156	1		
in	6	2	3	1	8	0	8	05/15/01	Hashikura, et al.	420	528		
							FOR	EIGN PAT	ENT DOCUMENTS				
	DOCUMENT NUMBER							DATE COUNT	COLINTRY	' CLASS	SUBCLASS	TRANSLATION	
									COUNTRI			YES	NO
m.	9	8	1	4	9	8	0	04/09/98	PCT			х	
m	9	4	2	1	6	7	1	08/22/96	Germany				x
m	08	4	9	7	6	7	A2	06/24/98	Europe			x	
pal	35	4	3	8	0	2	Al	06/19/87	Germany		`		x
ilm	20	09	6	1	7	6	A2	4/4/00	Japan			Abstract	
m	9	9	7	5	4	6	Al	5/3/00	Europe			х	
m	43	3	3	5	7	3	A2	11/20/92	Japan			Abstract	
m	1	6	4	5	7	2	A2	6/16/00	Japan			х	
m	11	0	2	9	3	7	8	2/2/99	Japan			Abstract	
m	10	0	6	7	5	5	4	03/10/98	Japan			Abstract	
			ОТІ	IER	DOC	UME	NTS	(Including	Author, Title, Date, Pe	ertinent Pa	ges, etc.)		

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PCT Communication dated 11/25/99, European Patent Office, P.B 5818 Patentlaan, NL-2280 HV Rijswik

An article entitled, "The evolution of DRAM cell technology," Solid State Technology 89-101 (May 1997), El-Kareh, et al.